



Attorney's Docket No.: 13906-138001 / 2003P00546 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthias Vogel et al.

Art Unit : Unknown

Serial No. : 10/642,499

Examiner : Unknown

Filed : August 18, 2003

Title : DATA STRUCTURE FOR ACCESS CONTROL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

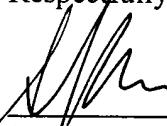
1. Information Disclosure Statement (1 page);
2. Form PTO-1449 (1 page);
3. Copies of Non-US Patent Cited References; and
4. Two Return Postcards.

Please date stamp and mail the enclosed postcards.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Feb. 11, 2004

  
Stephen R. Schaefer  
Reg. No. 37,927

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

60194058.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 11, 2004  
Date of Deposit  
Heidi Bathrick  
Signature

Heidi Bathrick  
Typed or Printed Name of Person Signing Certificate



Attorney's Docket No.: 13906-138001 / 2003P00546 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthias Vogel et al.                  Art Unit : Unknown  
Serial No. : 10/642,499                  Examiner : Unknown  
Filed : August 18, 2003  
Title : DATA STRUCTURE FOR ACCESS CONTROL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of the non-U.S. patents and non-U.S. patent application references listed on the attached form PTO-1449 are enclosed. Applicant brings the following pending application to the Examiner's attention:

Attorney's Docket No.: 13906-134001

Applicant: Matthias Vogel et al.

Filing Date: August 18, 2003

Application No.: 10/642,500

Title: MANAGING ACCESS CONTROL INFORMATION

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 11, 2004

Date of Deposit

Heidi Bathrick

Signature

Heidi Bathrick

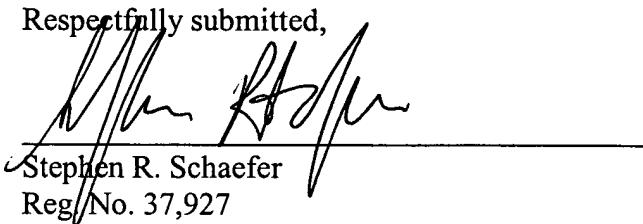
Typed or Printed Name of Person Signing Certificate

Applicant : Matthias Vogel et al.  
Serial No. : 10/642,499  
Filed : August 18, 2003  
Page : 2 of 2

Attorney's Docket No.: 13906-138001 / 2003P00546 US

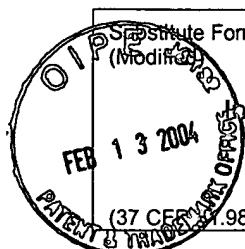
This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Date: Feb 11, 2004

Respectfully submitted,  
  
Stephen R. Schaefer  
Reg. No. 37,927

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

60194052.doc



Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR § 1.98(b))		Attorney's Docket No. 13906-138001	Application No. 10/642,499
		Applicant Matthias Vogel et al.	
		Filing Date August 18, 2003	Group Art Unit

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,591,265	07/08/2003	Erickson et al.			
	AB	6,578,037	06/10/2003	Wong et al.			
	AC	6,539,380	03/25/2003	Moran			
	AD	6,535,879	03/18/2003	Behera			
	AE	6,513,039	01/28/2003	Kraenzel			
	AF	6,484,258	11/19/2002	Haverty			
	AG	6,470,353	10/22/2002	Yaung et al.			
	AH	6,470,339	10/22/2002	Karp et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AI						

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AJ	"XACML: A New Standard Protects Content in Data Exchange," June 24, 2003, 7 pages, reprinted from <a href="http://developer.java.sun.com/developer/technicalArticles/Security/xacml/xacml.html">http://developer.java.sun.com/developer/technicalArticles/Security/xacml/xacml.html</a>
	AK	"Sun's XACML Implementation," June 23, 2003 Update, 2 pages, reprinted from <a href="http://sunxacml.sourceforge.net">http://sunxacml.sourceforge.net</a>
	AL	"OASIS: XACML Implementers Guide," Hal Lockhart, ed., June 12, 2002, 5 pages
	AM	"OASIS: Web-Services Policy Language Use-Cases and Requirements, Working Draft 04," Tim Moses, ed., April 16, 2003, 23 pages
	AN	"OASIS: "eXtensible Access Control Markup Language (XACML) Version 1.0, OASIS Standard," Simon Godik and Tim Moses, eds., February 18, 2003, 132 pages

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	